



Stevedoring Licence Application Form

Australian Amalgamated Terminals

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STEVEDORING LICENCE APPLICATION

This application form provides a structured and transparent basis for applicants to provide the information reasonably required by AAT to assess an application for Access Services in accordance with the Undertaking.

APPLICANT CORPORATE DETAILS

Name of Entity	
Type of Entity	
Australian Business Number (ABN)	
Registered Address	
Business Address	
Email Contact	
Telephone	

NAMES AND ADDRESS OF DIRECTORS OR PRINCIPALS

Director / Co Sec 1 - Name	
Address	
Position	
Director / Co Sec 2 - Name	
Address	
Position	
Director / Co Sec 3 - Name	
Address	
Position	

GROUP STRUCTURE

Provide a detailed overview of the Applicant's shareholding and ownership structure, including a company ownership chart detailing ownership through to the ultimate parent entity.

Please note, AAT will be running an independent credit assessment. We may seek audited statements demonstrating liquidity and credit worthiness, if required.

Details of any recent or proposed changes in ownership/control

FINANCIAL CAPACITY, CREDIT WORTHINESS, AND APPLICANT INTEGRITY

The Applicant must provide details on the following as relevant to the Applicant or the Directors of the Applicant:

- Material litigation
- Criminal convictions involving the company/directors where legally relevant
- Regulatory prosecutions

By submitting this application, the Applicant accepts that AAT will undertake external credit checks, inclusive of contacting Trade References as provided, and searches of ASIC register(s).

AAT reserves the right to impose prepayment, security deposit, bank guarantee or parent guarantee requirements based on the financial assessment. Such arrangements will be prescribed in any resultant Stevedoring Licence.

Provide details on any insolvency, administration, liquidation or material litigation involving the Applicant or related entities.

Provide details on any material outstanding judgments or statutory debts.

Provide evidence of financial capacity to meet terminal charges and operating obligations.

AAT may seek copies of audited financial statements if required to substantiate financial capacity. The Applicant is to provide information as they see fit in the first instance.

CREDIT REFERENCES	
Credit Reference 1 - Name of Person	
Organisation	
Contact Telephone	
Length of Dealing	
Credit Reference 2 - Name of Person	
Organisation	
Contact Telephone	
Length of Dealing	
Credit Reference 3 - Name of Person	
Organisation	
Contact Telephone	
Length of Dealing	

EXPERIENCE AND OPERATIONAL CAPABILITY

Capability Statement - provide details on the Applicant's performance at other ports, inclusive of:

- Years of stevedoring experience
- Ports/terminals currently or previously operated at
- Cargo types handled
- Details of comparable operations
- Annual volumes handled over the previous three years

Names and contact details of Business Management Team, including Terminal Management:

- Provide details of the Business's Organisational Structure
- Provide details on Key Personnel and Experience

CLIENT REFERENCES	
Client 1 - Name of Person	
Organisation	
Contact Telephone	
Client 2 - Name of Person	
Organisation	
Contact Telephone	

EVIDENCE OF CUSTOMER CONTRACT AND SUPPORT
Due to the high cost of establishing a stevedore in a terminal, an application will only be considered if the Applicant has a stevedoring contract in place with a shipping line calling on a regular long-term basis. "Spot" or one-off stevedoring applications will not be considered. The Applicant must provide: • Shipping lines, vessel operators or cargo owners they propose to service • Letters of support, appointment or intent where available. This may include conditional support (i.e. an intent to contract, subject to attaining a Stevedoring Licence).

WORKFORCE AND INDUSTRIAL RELATIONS

AAT seeks that the Applicant demonstrates that it has appropriate employment arrangements in place, given the potential enterprise risk to AAT and our customers. AAT will not accept arrangements whereby an Applicant uses subcontract labour to perform substantial parts of the services to be provided under any resultant Stevedoring Licence.

The Applicant must provide:

- Proposed workforce numbers, and details on where workforce will be sourced
- Employment arrangements
- A summary of the applicable industrial relations framework / applicable enterprise agreements
- Current or threatened industrial action
- Training and competency arrangements
- Details on any subcontracted labour to be used (if any)
- The Applicant must provide details of their personnel screening/onboarding process.

SAFETY, HEALTH AND ENVIRONMENT

Note: AAT will be undertaking a separate auditing process against the applicable ISO Standard.

The Applicant must provide:

- Relevant certifications/accreditations
- Safety performance statistics for the previous three years, including LTIFR/TRIFR or equivalent as retained by the Applicant
- Details of fatalities, serious incidents and notifiable incidents
- Details of WHS regulator notices, prosecutions or enforceable undertakings